

337**REGULATION
Of the National Security Authority****Of 10 May 2004****Governing the details about the certification of mechanical barrier devices and technical protection devices and their use**

The National Security Authority (hereinafter referred to as “Authority”) stipulates, pursuant to Article 54 paragraph 11) of Act No.215/2004 (Coll.) on the protection of classified information and on the amending and supplementing of certain laws (hereinafter referred to as “Act”) the following:

Article 1**Mechanical Barrier Devices and Technical Protection Devices**

(1) A mechanical barrier device and a technical protection device shall, according to requirements established in the security standard for physical security and building security ¹⁾ (hereinafter referred to as “security standard”), be classified as a mechanical barrier device and technical protection device determined for the protection of classified information of the level of security classification:

- a) Top Secret, using the acronym “TS”;
- b) Secret, using the acronym “S”;
- c) Confidential, with the acronym “C”;
- d) Restricted, with the acronym “R”.

(2) Mechanical barrier devices and technical protection devices of the category “R” do not form a subject of certification. Their technical specification shall be stated in security documentation for physical and building security.²⁾

Article 2**Certification of Mechanical barrier devices and
Certification of Technical protection devices**

(1) The certification of mechanical barrier devices and the certification of technical protection devices is a process in which

- a) The conformity of a mechanical barrier device or a technical protection device is verified compared to a security standard;

¹⁾ Regulation of the National Security Authority No. 336 /2004 (Coll.) on physical and building security

²⁾ Article 11 of Regulation of the National Security Authority No. 336/2004 (Coll.)

b) The qualification of a mechanical barrier device or technical protection device to protect classified information is certified.

(2) Verification of conformity of the attributes executes the Authority, state body or an entrepreneur, which the Authority authorized³⁾ for the execution of the verification of conformity of the attributes of a mechanical barrier device or a technical protection device (hereinafter referred to as “authorized person”) with the security standard.

(3) The Authority shall execute the certification of the qualification pursuant to paragraph 1 letter b), upon an application for the issue of certificate.

(4) The requirements of an application for the issue of certificate are specified in Annex No. 1 and 2.

Article 3 Type Certification

(1) Type certification of a mechanical barrier device and type certification of a technical protection device (hereinafter referred to as “type certification”) is an activity by which the conformity of the attributes of a type of a mechanical barrier device or a technical protection device are verified with standard security requirements.

(2) A producer, importer, representative or distributor⁴⁾ (hereinafter referred to as “applicant”) shall apply for the issue of a type certificate to the Authority. A written application shall contain the following information:

- a) Information concerning the applicant;
- b) Information concerning the producer;
- c) Information about the relevant product;
- d) Accompanying records to the application pursuant to Annex No. 1.

(3) An applicant shall provide the authorized person with a list of standards and regulations with which the relevant product complies, test protocols issued by another subject than the authorized person and test protocols issued by foreign provider of certification services, technical documentation, sample of the relevant type of mechanical barrier device or technical protection device, if required by the authorized person.

(4) If no Slovak technical standard or method defined in technical regulations exists for the required test, a trial method shall be used for the verification of conformity proposed by the authorized person and approved by the Authority

(5) The authorized person shall issue a final protocol about the results of its findings, stating a clear conclusion, which shall contain a statement commenting the conformity of attributes of the relevant mechanical barrier device or technical protection device with the security standard.

³⁾ Article 59 of Act No. 215/2004 (Coll.) on the protection of classified information and on the amending and supplementing of certain laws.

⁴⁾ Article 2 letter b) to letter e) of Act No. 264/1999 (Coll.) on technical requirements for products and the evaluation of conformity and on the amending and supplementing of certain laws, as amended by later regulations.

(6) The final protocol shall contain the following:

- a) Information concerning the authorized person;
- b) Information concerning the applicant;
- c) Information about the relevant product;
- d) List of records necessary for verification of the conformity of attributes;
- e) Review of the test results;
- f) Evaluation of the test results;
- g) Conclusion.

(7) The authorized person shall submit the final protocol to the applicant.

(8) An application to whom a certificate was issued, shall immediately notify the Authority of all changes of conditions specified for the issue of the certificate that occur during the validity period of the certificate.

(9) The authorized person is entitled, upon the Authority's initiative, to check the conformity of attributes of manufactured products with the certified type.

(10) The Authority shall publish the list of certified types of mechanical barrier devices and certified types of technical protection devices, as well as their validity period, immediately after their issue, on its Internet website. After the expiry of the validity period of a certificate, the relevant mechanical barrier device or technical protection device is discarded from the list of certified mechanical barrier devices and technical protection devices.

(11) A type certificate holder shall enclose a copy of the type certification with the accompanying technical documentation intended for the user.

(12) The paragraphs 1 to paragraph 11 shall appropriately refer to the certification of mechanical barrier devices and technical protection devices that are manufactured, supplied and installed as individual items, such as vaults.

Article 4 Device Certification

(1) The certification of an individual mechanical barrier device and certification of an individual technical protection device (hereinafter referred to as "device certification") shall mean a type of certification by which the conformity of attributes of a mechanical barrier device or technical protection device, to which the Authority did not issue a type certificate, is verified and certified with the security standard.

(2) A written application for device certification shall contain:

- a) Information concerning the user;
- b) Information concerning the producer;
- c) Information about the relevant product;
- d) Accompanying record to the application pursuant to Annex No. 2.

(3) Certified devices pursuant to paragraph 1 are not defined in the list of certified mechanical barrier devices and technical protection devices pursuant to Article 3 paragraph 3 to 8.

(4) Device certification shall appropriately follow provision of Article 3 paragraph 3 to 8.

Article 5

Validity of Type Certificates and Device Certificates

(1) The validity of a certificate related to a mechanical barrier device and a certificate related to a technical protection device shall expire:

- a) Upon the expiry of the validity of a certificate;
- b) Upon any change in the security standard parameters;
- c) If a mechanical barrier device or technical security no longer complies with the parameters specified in the final protocol.

Article 6

Temporary Provisions

Mechanical barrier devices and technical protection devices certified pursuant to present regulations shall be considered to be certified pursuant to this regulation.

Article 9

Operation

This regulation shall enter into force on 1 June 2004.

Aurel Ugor, by hand

**Annex No.1
to Regulation No. 337/2004 (Coll.)**

National Security Authority	Received on:
	Registration No.:
Tel.: Fax:	

**APPLICATION
for the issue of a type certificate***

of mechanical barrier device/technical protection device pursuant to Article 54 of Act No. 215/2004 (Coll.) on the protection of classified information and on the amending and supplementing of certain laws

1. Applicant (producer, importer, representative or distributor)

Business name:
Address:
Company Reg. No.:
Statutory Representative: (Name, surname, title, position, telephone, fax)
Responsible employee: (Name, surname, title, position, telephone, fax)

2. Producer (To be filled in if filed by the importer, representative or distributor)

Business name:
Address:

3. Product

Type, trade name:
Type:
Variants:
Level of security classification of classified information for the protection of which the product is determined:

* To be filled in by using a typewriter or in block letters.

4. Records accompanying the application

Final protocol issued by the authorized person	Annex No.:
Certificate or declaration about conformity of a mechanical barrier device or technical protection device pursuant to a special law ⁵⁾	Annex No.:
Approval with the presentation of a mechanical barrier device or technical protection device in the list of certified mechanical barrier devices and technical protection devices	Annex No.:
Other data:	Annex No.:

In..... on.....

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Signature and imprint of the applicant's stamp

⁵⁾ Act No. 264/1999 (Coll.)
Act No. 90/1998 (Coll.) on building products, as amended by later regulations

**Annex No.2
to Regulation No. 337/2004 (Coll.)**

National Security Authority	Received on:
	Registration No.:
Tel.: Fax:	

Application for the issue of a certificate for an individual*
mechanical barrier device/technical protection device pursuant to Article 54 of Act No. 215/2004 (Coll.) on the protection of classified information and on the amending and supplementing of certain laws

1. User

Business name:
Address:
Company Registration No.:
Statutory Representative:
(Name, surname, title, position, telephone, fax)
Responsible employee:
(Name, surname, title, position, telephone, fax)

2. Producer (importer or distributor)

Business name:
Address:

3. Product

Type, trade name:
Type:
Company Registration No. Tax Registration No.:
Level of security classification of classified information for the protection of which the product is determined

* To be filled in by using a typewriter or in block letters.

4. Records accompanying the application

Final protocol issued by the authorized person:	Annex No.:
Declaration of the user's statutory body about the device qualification:	Annex No.:
Specification of the technical device:	Annex No.:
Description of the user's environment:	Annex No.:

In on.....

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Signature and imprint of the applicant's stamp